

2020
FINANCIAL MARKETS MANAGEMNET

Marks: 70

Time: 3 hours

General instructions:

- i) *Approximately 15 minutes is allotted to read the question paper and revise the answers.*
- ii) *The question paper consists of 24 questions. All questions are compulsory.*
- iii) *Marks are indicated against each question.*
- iv) *Internal choice has been provided in some questions.*

N.B: *Check that all pages of the question paper is complete as indicated on the top left side.*

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|-----|---|--|
| 1. | Name the options available for investments. | 1 |
| 2. | What is an index? | 1 |
| 3. | What is dematerialization? | 1 |
| 4. | What is meant by issue price? | 1 |
| 5. | What is time value of money? | 1 |
| 6. | Define expense ratio. | 1 |
| 7. | Who issue sovereign gold bonds in India? | 1 |
| 8. | Write the full form of AMFI. | 1 |
| 9. | Mention any four long term financial options for investment. | $\frac{1}{2} \times 4 = 2$ |
| 10. | What is pay-in day and pay-out day? | 2 |
| 11. | State any two differences between primary and secondary market. | 2 |
| 12. | Mention two parts of a mutual fund offer document. | 2 |
| 13. | What is the role of a registrar and transfer agents? | 2 |
| 14. | What is a debt instrument? What are the features of debt instruments? | $1+3=4$ |

15. Distinguish between secured and unsecured loans under loan funds. **4**
16. Explain the four heads under application of funds. **4**
17. How is cash level in portfolio analysed? **4**
18. Explain the portfolio churning in liquid funds. **4**
19. What are floating rate scheme? State the valuation of securities. **1+3=4**
20. Write any four advantages of mutual funds. **4**
21. **a.** Write six do's and six don'ts an investor should bear in mind while investing in the stock markets. **6**
Or
b. Explain in detail the financial products of shares dealt in the secondary market.
22. **a.** What is earning per share? Write the profitability ratios with formulae. **1+5 =6**
Or
b. Write six points one should look for in a profit and loss account. **(6)**
23. **a.** Explain the procedure for investing in an NFO. **6**
Or
b. Write any six points of investor's rights and obligations.
24. **a.** Explain the working of Gold-ETFs. Differentiate between portfolio deposit and cash component in Gold-ETFs. **4+2=6**
Or
b. Explain the valuation of securities. **(6)**
